Express Mail Label No.: EV054296683US

EDate of Deposit: February 13, 2002

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ABELICANTS:

DeGroot

SERIAL NUMBER:

10/044,703

EXAMINER:

Not Yet Assigned

FILING DATE:

November 9, 2001

ART UNIT:

For:

JAN 1 3 2002

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Human T Cell Response To MHC-Binding Motif Clusters

### **BOX IDS**

Assistant Commissioner for Patents Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is believed required.

A copy of each of the references in the above-identified application is enclosed unless otherwise indicated on the attached modified Form PTO-1449. It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

APPLICANTS:

DeGroot

U.S.S.N.:

10/044,703

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 17999-004 CON.

Respectfully submitted,

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY and POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: February 13, 2002

TRA 1630138v1

PTO/SB (12-97) Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Modified Form 1449/PTO	Application Number	10/044,703
	Filing Date	11/09/2001
INFORMATION DISCLOSURE	First Named Inventor	DeGroot
STATEMENT BY APPLICANT	Group Art Unit	
	Examiner Name	Not Yet Assigned
(use as many sheets as necessary)	Attorney Docket Number	17999-004 CON

				U.S. PATENT DOCUMENTS			
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Clas	Sub Class	Filing Date If Appropriate

real sales	i i e		FOREIGN PATENT DOCUMENTS		
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No

1 1015 121 2 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C1*	Davenport, et al. (1995). "An empirical method for the prediction of T-cell epitopes." <i>Immunogenetics</i> <u>42(5)</u> : 392-397.
	C2*	DeGroot, et al. (1996). "Computer Prediction of T-Cell Epitopes." New Generation Vaccines, 2 <sup>nd</sup> edition: 127-138.
	C3*	Falk, et al. (1991). "Allele-specific motifs revealed by sequencing of self-peptides eluted from MHC molecules." Nature 351: 290-296.
	C4*	Biesinger, et al. (1995). "The Product of the <i>Herpesvirus saimiri</i> Open Reading Frame 1 (Tip) Interacts with T Cell-specific Kinase p56 <sup>lck</sup> in Transformed Cells." <i>J. Biol. Chem.</i> <u>270(</u> 9): 4729-4734.
	C5*	Germain, et al. (1993). "The Biochemistry and Cell Biology of Antigen Processing and Presentation." Ann. Rev. Immunol. 11:403-450.
	C6*	Hammer, et al. (1994). "Precise Prediction of Major Histocompatibility Complex Class II-Peptide Interaction Based on Peptide Side Chain Scanning." <i>J. Exp. Med.</i> 180: 2353-2358.
	C7*	Meister, et al. (1995). "Two novel T cell epitope prediction algorithms based on MHC-binding motifs; comparison of predicted and published epitopes from Mycobacterium tuberculosis and HIV protein sequences." <i>Vaccine</i> 13(6): 581-591.
	C8*	Roberts, et al. (1996). "Prediction of HIV Peptide Epitopes by a Novel Algorithm." AIDS Res. Hu. Retrovir. 12(7): 593-610.
	C9*	DeGroot, et al. (1996). "A Novel Algorithm for the Efficient Identification of T-cell Epitopes: Prediction and Testing of Candidate Tuberculosis Vaccine Peptides in Genetically Diverse Populations." <i>Vaccines</i> <u>96</u> : 127-141.
-	C10*	Meister et al. (1995). "Two novel MHC-binding Motif-based T-cell Epitope Prediction Algorithms: Prediction of Epitopes for Six Mycobacterium tuberculosis Protein Antigens." <i>Vaccines</i> <u>95</u> : 219-226.
	C11*	International Search Report for PCT/US01/08906 mailed on October 2, 2001.

<sup>\*</sup> a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/813,333 , filed March 20, 2001 and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature	Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Express Mail No. EV054296 Date of Deposit: February 13, 2002

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INFORMATION DISCLOSURE	First Named Inventor	DeGroot
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				U.S. PATENT DOCUMENTS		THE ELEV	
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			FOREIGN PATENT DOCUMENTS		
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	\$ : 1845	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
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torney Docket No.: 17999-00

JAN 1 3 2002

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANTS:

**DeGroot** 

SERIAL NUMBER:

10/044,703

EXAMINER:

Not Yet Assigned

FILING DATE:

November 9, 2001

ART UNIT:

FOR:

Human T Cell Response To MHC-Binding Motif Clusters

## **BOX IDS**

Assistant Commissioner for Patents Washington, D.C. 20231



### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Information Disclosure Statement (2 pages);
- 2. Modified Form 1449/PTO (1 page), in duplicate; and
- 3. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 17999-004 CON. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ivor R. Elrifi, Reg. No.

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Dated: February 13, 2002

TRA 1630149v1